

PATENTS
Attorney Docket No. CF-55

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Carlton Bartels et al.
Application No. : 09/967,272 Confirmation No. : 6788
Filed : September 28, 2001
For : ELECTRONIC TRADING SYSTEM FOR SIMULATING
THE TRADING OF CARBON DIOXIDE EQUIVALENT
EMISSION REDUCTIONS AND METHODS OF USE
Group Art Unit : 3624
Examiner : Sandra S. Snapp

New York, New York 10020
March 9, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

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MAR 16 2004

GROUP 3600

Sir:

Transmitted herewith is a Supplemental Information
Disclosure Statement in the above-identified application. This
Statement is submitted more than three months from the
application filing date and after the mailing date of the first
Office Action on the merits, but before the mailing date of

Express Mail No.
EV079960404US

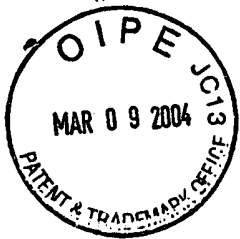
either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311.

In accordance with 37 C.F.R. § 1.97, this Statement is accompanied by a check in the amount of \$180.00 in payment of the fee set forth in 37 C.F.R. § 1.17(p). The Director is hereby authorized to charge payment of any additional fees required in connection with this Statement, or credit any overpayment of the same, to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Laura A. Sheridan

Laura A. Sheridan
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following documents:

U.S. Patent Document

Adamson et al. 2001/0032168 (10/18/2001)

Other Documents

"The world's first markets for trading emissions
credits . . . ," Dow Jones Business News, October 17, 2000.

03/12/2004 SDENBOB1 00000105 09967272

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Express Mail No.
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"Cantor Fitzgerald and PricewaterhouseCoopers to Create Global Hub of Carbon Commerce: Market to be Powered by B2B Leader, eSpeed," Business Wire, October 17, 2000.

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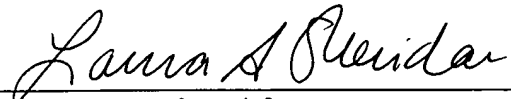
Copies of the aforementioned documents, which are listed on the accompanying form PTO-1449 (submitted in duplicate), are enclosed herewith.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

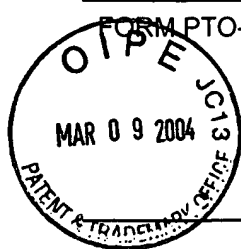
It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully submitted,

A handwritten signature in cursive script, reading "Laura A. Sheridan". The signature is written in dark ink and is positioned above a horizontal line.

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FORM PTO-1449

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2001/0032168	10/18/2001	Adamson et al.	705	37	

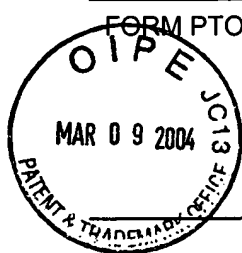
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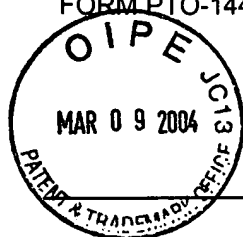
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